

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE STATEMENT

In re Application of: DesMarteau et al.

Attorney Docket No.: CXU-328

Serial No.: Not yet known

Date: April 10, 2001

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Filed: Herewith

Art Unit: Not yet known

Title: Zwitterionic Iodonium Compounds

Our Account No. 04-1403

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. [X] Attached hereto is:

a. [X] A list of all materials for consideration per Rule 98(a)(1):  
2 page(s)

b. [X] A legible copy of each patent, publication, or other item listed per Rule 98(a)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
10 item(s)

c. [ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:        page(s)

2. [X] This Information Disclosure Statement is being filed [CHECK ONE]:

a. [X] WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

b. [ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action OR Notice of Allowance, WHEREFORE per Rule 97(c) submitted herewith is [CHECK ONE]:

i. [ ] Certification per Rule 97(e) OR  
ii. [ ] Filing Fee per Rule 17(p) . . . . . \$240.00

c. [ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

i. Certification per Rule 97(e)  
ii. Petition: Petition is hereby made under Rule 97(d)(ii) for consideration of the present Information Disclosure Statement; AND  
iii. Petition fee per Rule 17(i)(1) . . . . . \$130.00

3. [ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party makes the following certification statement [CHECK ONE]:

a. [ ] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application

not more than three months prior to the filing of this statement; OR

b. [ ] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below)

Name: \_\_\_\_\_ Signature \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_  
Date

4. [X] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5. [X] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a. [ ] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

(Typed/printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

b. [X] "Express Mail" Certificate under Rule 10:

"Express Mail" - Label No. EL833915873US  
Date of Deposit April 10, 2001

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231.

Terry Ballew

(Typed/printed name of person mailing paper or fee)

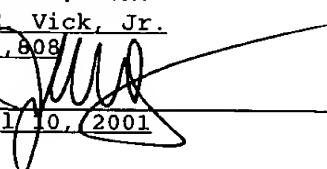
*Terry Ballew*

(Signature of person mailing paper or fee)

**DORITY & MANNING, P.A.**

By: John E. Vick, Jr.

Reg. No.: 33,808

Signature: 

Date: April 10, 2001

ADDRESS:

Suite 15

700 E. North Street

Greenville, SC 29601

(864) 271-1592

FAX (864) 233-7342